

## **KRISTEN E. JACONI**

Leventhal School of Accounting  
University of Southern California  
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### **PROFESSIONAL EXPERIENCE**

**Leventhal School of Accounting, University of Southern California** 2018-Present  
Associate Professor of the Practice in Accounting • Director, Risk Management Program • Adjunct Professor of Accounting

Serves as an Associate Professor of the Practice in Accounting and the director of the Leventhal School of Accounting's undergraduate-level Risk Management Program. Established the infrastructure for the Risk Management Program, including the Minor in Risk Management Mentorship Program and recurring networking, recruiting, and other professional development events. Teaches Introduction to Enterprise Risk Management, the foundational course of the Risk Management Program. Developed curriculum for and teaches Risk Management in Entertainment, Sports, and the Arts. Developed curriculum for Innovating Risk Solutions in Disruptive Environments. Oversees the publication of the USC Risk Management Exchange, a bi-weekly newsletter of industry thought leadership. Manages the Emerging Leaders Program, an internship, professional development, and academic program to recruit diverse students from USC, three Historically Black Colleges and Universities, and one Hispanic-Serving Institution. Organizes USC Risk Management Symposium. Serves as faculty adviser to the student organization, the Risk Management Society at USC/Gamma Omicron.

#### **Promontory Financial Group, LLC**

Special Adviser • Managing Director • Director • Senior Principal • Consultant 2010-2018

Served at Promontory, a leading regulatory consulting firm, as an advisor to global financial institutions on risk management, compliance, corporate governance, culture, executive compensation, succession planning, and other regulatory issues. Established a conduct risk management program for one of the largest U.S. financial institutions. Developed and drafted regulatory responses on behalf of a U.S. financial institution relating to risk management, compliance, governance, and mortgage servicing practices. Established a compliance risk management program for the U.S. operations of one of the largest foreign financial institutions. Advised financial institutions on complying with federal banking regulatory guidance on risk management and sound incentive compensation policies. Analyzed and drafted summaries and client memoranda on the securities, futures, and insurance provisions of the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010. Served on Promontory's management-level Risk and Reputation Committee and Leadership and Professional Development Committee. Developed a talent development and mentoring program and a networking program for a Promontory practice group.

#### **U.S. Department of the Treasury, Washington, DC**

Senior Policy Advisor to the Under Secretary for Domestic Finance 2007-2009

Developed recommendations on securities and futures regulation for the *Blueprint for a Modernized Financial Regulatory Structure*, a proposal to restructure regulatory agencies and enhance regulation of the U.S. financial services industry. Drafted risk management, corporate governance, and executive compensation guidelines for firms receiving assistance under the Troubled Asset Relief Program. Managed the establishment and operations of the Advisory Committee on the Auditing Profession, chaired by former Securities and Exchange Commission Chairman Arthur Levitt, Jr. and former SEC

Chief Accountant Donald T. Nicolaisen, which issued a 200-page *Final Report* to improve the sustainability of the public company auditing profession. Served as an Official Observer on the SEC's Committee on Improvements to Financial Reporting. Served as an Official Observer at the SEC's Fair Value Roundtables.

**Committee on Financial Services, U.S. House of Representatives**, Washington, DC  
Senior Counsel • Counsel • Legal Intern 2003-2007

Advised Chairman Michael G. Oxley on a wide range of securities issues, including implementation of the Sarbanes-Oxley Act, domestic and international accounting standards, the Public Company Accounting Oversight Board, mutual funds, hedge funds, market structure, self-regulatory organizations, credit rating agencies, SEC oversight, proxy advisory firms, 529 plans, and securities litigation and arbitration. Directed hearings, drafted legislation, and cultivated congressional support for credit rating agency reform, resulting in the enactment of the Credit Rating Agency Reform Act of 2006. Directed hearings, researched, and drafted legislation relating to the sales of abusive financial products to military personnel, resulting in the enactment of the Military Personnel Financial Services Protection Act.

**O'Melveny & Myers LLP**, Orange County, CA  
Associate 2001-2003

Counseled public and private companies regarding securities law, financial reporting matters, corporate governance, compliance, asset acquisitions, and shelf and venture financing. Advised bankrupt public companies on securities and financial reporting matters.

**Hon. Gary L. Taylor**, Central District of California  
Extern Aug. 1999

Researched and wrote tentative opinions on antitrust violations and personal jurisdiction.

**Washington Mutual Financial Services**, Irvine, California  
Regional Operational Representative 1998

Approved trades and managed approximately fifteen brokers.

**Sun America Securities**, Irvine, California  
Registered Representative • Insurance Agent 1996-1998

Sold mutual funds, annuities, and life, health, and disability insurance.

## EDUCATION

**Stanford Law School**, J.D. 2001  
Stanford Law Review, Articles Editor  
Stanford Journal of International Law, Lead Editor

**University of California, Irvine**, M.A., Classics 1996

**Pomona College**, B.A., Classics, French 1994  
Magna Cum Laude  
Phi Beta Kappa

## PANELS AND PRESENTATIONS

<p><b><i>Cyber Risk Management in the Wake of SolarWinds</i></b>            USC Dornsife School of Letters, Arts and Sciences, USC Leventhal School of Accounting,            USC Marshall School of Business, USC Viterbi School of Engineering            Joint Webinar (Organizer and Moderator)</p>	<p>Feb. 26, 2021</p>
<p><b><i>Strategy and Risks in Commercial Real Estate: The Future of the Office</i></b>            USC Marshall School of Business Webinar Series (Moderator)</p>	<p>Nov. 18, 2020</p>
<p><b><i>Introducing Risk Management</i></b>            USC Marshall School of Business Office of Diversity, Equity and Inclusion            USC Risk Management Program Webinar Series (Organizer and Moderator)</p>	<p>Sept. 9, 2020</p>
<p><b><i>Introducing the Risk and Insurance Management Society</i></b>            USC Risk Management Program Webinar Series (Organizer)</p>	<p>July 15, 2020</p>
<p><b><i>Professional Designations and Certifications in the Risk Management Industry</i></b>            USC Risk Management Program Webinar Series (Organizer and Moderator)</p>	<p>June 30, 2020</p>
<p><b><i>Coronavirus: A Black Swan?</i></b>            2020 Risk Conference            Institute of Internal Auditors, Los Angeles Chapter (Presenter)</p>	<p>Mar. 11, 2020</p>
<p><b><i>Disruption: Risks &amp; Opportunities in Sports, TV, Data Analytics, &amp; Insurance</i></b>            USC Risk Management Symposium (Organizer)</p>	<p>Nov. 19, 2019</p>
<p><b><i>Emerging Issues in Cybersecurity, Insurance, Tax, Trade and Crisis Management</i></b>            USC Risk Management Symposium (Organizer)</p>	<p>Oct. 11, 2018</p>
<p><b><i>Supply Chain Risk as a Potential Performance Enabler</i></b>            USC Global Supply Chain Excellence Summit (Moderator)</p>	<p>Aug. 3, 2018</p>
<p><b><i>Conduct Risk Management Roundtables</i></b>            Promontory Financial Group (Organizer and Participant)</p>	<p>Nov. 2017-June 2018</p>
<p><b><i>Corporate Compliance and Compensation Incentives</i></b>            Harvard Law School (Panelist)</p>	<p>May 18, 2018</p>
<p><b><i>Foreign Banking Organization Roundtables</i></b>            Promontory Financial Group (Organizer and Participant)</p>	<p>Sept. 2014-Oct. 2016</p>
<p><b><i>How To Attract and Retain Compliance Department Personnel</i></b>            Annual Seminar on Risk Management and Regulatory Examinations/Compliance Issues Affecting            International Banks, Institute of International Bankers (Panelist)</p>	<p>Oct. 25, 2016</p>
<p><b><i>Strengthening the Alignment of Risk and Reward</i></b>            Corporate Governance: Supervisory Expectations Workshop, School of Economics and Business, Universidad            de Navarra, Spain (Presenter)</p>	<p>Mar. 6, 2015</p>

***What Is Industry Supervision Going to Look Like?***

Apr. 25, 2014

Future of Finance Colloquium, Columbia Law School, Millstein Center for Global Markets and Corporate Ownership, and CFA Institute (Panelist)

***Public Company Executive Compensation Conference***

May 5 and 6, 2011

The Sanford School of Public Policy and the Kenan Institute for Ethics, Duke University (Staff Director)

***Bad Loans, Gatekeepers and Regulators – Is Change on the Horizon or Just a Mirage?***

Jan. 25, 2010  
2010 Corporate Directors Forum: Directors, Management & Shareholders in Dialogue (Panelist)

**MEDIA MENTIONS**

Gordon Feller, *Eye in the Sky*, LEADERS EDGE (Nov. 1, 2021)

Patrick Sisson, *Live Music Is About to Get Its Grand Reopening*, MARKER (Mar. 23, 2021)

Joshua Taylor, *Umbrella Insurance*, WALLETHUB (Mar. 1, 2021)

Eric Margolis, *The Logistical Hell of Postponing the Olympics for One Year*, SLATE (Apr. 14, 2020)

Andrew Keh, Motoko Rich, and Tariq Panja, *Deciding to Postpone the Olympics was Tough. Actually Moving Them May be Tougher*, THE NEW YORK TIMES (Mar. 25, 2020)

David Wharton, *Tokyo Olympics postponed because of the coronavirus outbreak*, LOS ANGELES TIMES (Mar. 24, 2020)

August Brown and Randall Roberts, *Can L.A. nightlife survive the coronavirus?*, LOS ANGELES TIMES (Mar. 12, 2020)

Samantha Hissong and Ethan Millman, *'Everything Is in Chaos': The Concert Business Stands to Lose Billions From Coronavirus*, ROLLING STONE (Mar. 11, 2020)

WalletHub's 2019 Best Cheap Car Insurance in California, WALLETHUB (August 2019)

Kristin Broughton, *Drivers Lead Protest Ahead of Uber IPO*, THE WALL STREET JOURNAL ONLINE (May 8, 2019)

Don Jergler, *USC's New Risk Management Program Draws Students Looking for Mentors*, INSURANCE JOURNAL (Feb. 18, 2019)

Lindsay Moore, *Starting a Risk Management Program at USC*, WSIA 40 NEWS (Feb. 2019)

Joyce E. Cutler, *Accounting Firms, Schools Adapting for Cyberrisk, Regulation*, BLOOMBERG TAX (Nov. 23, 2018)

**PUBLICATIONS**

Dean Kingsley, Matt Solomon, and Kristen Jaconi, *Analysis: Room for Improvement in Risk Disclosures*, DELOITTE IN RISK AND COMPLIANCE JOURNAL, THE WALL STREET JOURNAL (January 4, 2022)

Dean Kingsley, Matt Solomon, and Kristen Jaconi, *Companies Have Work to Do on Risk Disclosures*, DELOITTE IN RISK AND COMPLIANCE JOURNAL, THE WALL STREET JOURNAL (March 17, 2021)

*Inez Milholland: The Suffrage Martyr*, Stanford Law School Women's Legal History Project (2002)

Book Note, *Principles of French Law*, 35 STAN. J. INT'L L. 211 (1999)

### **FELLOWSHIPS AND AWARDS**

USC Marshall School of Business Community Award (2021)

USC Marshall School of Business Golden Apple Elective Course Teaching Award (2020)

Stanford Journal of International Law Editing Award (1999, 2000)

University of California, Irvine Regents Fellowship (1994)

Phi Beta Kappa Honor Society Award for Pursuit of Graduate Studies (1994)

### **ADDITIONAL EXPERIENCE AND SKILLS**

California Bar (2001) (inactive)

Series 6, 7, 63 (1996/1997) (expired)

Life, Health, Disability Agent (1996) (expired)

French (Fluent)